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NOV 1 8 2009

# 2009 NOV 23 PM L: 50 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.:

Serial No.:

7,587,509

10/624,338

Filing Date: 07/22/2003

Clayton M. Grondahl Applicant:

Art Unit:

Conf. No.:

3677

6985

OFFICE OF PETITIONS

RECEIVED

DEC 0 2 2009

Docket No.: GRON-0002

Title: SEAL ASSEMBLY AND ROTARY MACHINE CONTAINING SUCH SEAL

#### COMMISSIONER FOR PATENTS

Via Facsimile to: 571-273-8300

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith is:

Payment of Deficiency of Fee in 2 pages Credit Card Payment Form in 1 page

for the above-referenced application.

- An additional fee of \$1230 is required for payment of Small Entity fee in error. X
- Please charge the amount of \$1230 as indicated in the attached Credit Card Payment Form. X
- The Commissioner is hereby authorized to charge and credit Deposit Account No. X 500999 as described below.
  - Charge any additional fee required. X

Busin Crosh

Credit any overpayment. X

DATE: November 18, 2009

11/18/09

# CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on the date shown below.

Lisa A. Cronk

Signature

If you receive this correspondence in error or do not receive the entire transmission, please notify us at (518) 449-0044.

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Applicant:

Clayton M. Grondahl

Docket No.:

**GRON-0002** 

Examiner:

Reese, David C.

Title: SEAL ASSEMBLY AND ROTARY MACHINE CONTAINING SUCH SEAL

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# Payment of Deficiency of Fee Due to Error in Small Entity Status and Notification of Loss of Entitlement to Small Entity Status

Sir:

In the above-referenced patent application, Applicants are no longer entitled to Small Entity Status. At the time of filing, Applicants qualified for Small Entity status. Although, during prosecution, small entity status was established in good faith and fees as a small entity were paid in good faith, Applicants have since determined that as of approximately February, 2008, Applicants were no longer entitled to Small Entity status.

In accordance with 37 CFR § 1.28(c)(2)(ii), the itemization of fees erroneously paid as a small entity are as follows:

Fee Туре	Amount Paid	Date Paid	Current Fee Amount for a non-small entity	Deficiency Owed
RCE	\$405	04/22/2008	\$810	\$405
1 month extension of time	\$60	04/22/2008	\$130	\$70
Issue Fee - Utility	\$755	07/20/2009	\$1,510	\$755

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The total deficiency payment owed is \$1230.

Applicants have included herewith information to pay the deficiency amount by credit card.

The Commissioner is hereby authorized to charge any additional fee(s) that may be required to correct the error to Deposit Account No. 500999.

Applicants respectfully submit that the previously described error in determining small entity status occurred without deceptive intent to commit fraud on the patent office. Should the Office require anything further to correct the error in small entity status, the Office is invited to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,

/Meghan Q. Toner/
Meghan Q. Toner, Reg. No. 52,142
Hoffman Warnick LLC
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Albany, NY 12207
(518) 449-0044 - Telephone
(518) 449-0047 - Facsimile

Dated: November 18, 2009